



## Abstract of 2019 Q3 Report

October, 2019



# Main Financial Data

	Jan. 1 2019 to Sep. 30 2019	Jan. 1 2018 to Sep. 30 2018	Changes (%)
Revenue (RMB yuan)	25,970,821,876.75	22,146,082,767.03	17.27
Profits Attributable to Shareholder of Parent Company (RMB yuan)	860,827,129.61	777,175,172.71	10.76
Net Cash Generated from Operating Activities (RMB yuan)	342,471,361.10	-70,822,565.22	NA
Basic EPS (RMB yuan)	0.40	0.36	11.11
ROE	8.95%	8.81%	0.14 percentage points
	As at Sep. 30 2019	As at Dec. 31 2018	Changes (%)
Total Assets (RMB yuan)	21,730,078,912.56	20,151,393,805.10	7.83
Net Assets Attributable to Shareholder of Parent Company (RMB yuan)	9,804,977,378.46	9,408,033,114.13	4.22



# Top 10 Shareholders

As at 30 Sep. 2019, the total number of shareholders of USI was 24,135

No.	Name of Shareholders	Shareholdings (%)	Number of shares hold
1	USI Enterprise Limited	77.38	1,683,749,126
2	Hong Kong Securities Clearing Co., Ltd.	4.01	87,330,875
3	China Securities Finance Co., Ltd.	1.69	36,750,069
4	ASE Semiconductor (Shanghai) Co. Ltd.	0.83	18,098,476
5	Universal Scientific Industrial (Shanghai) Co., Ltd. buyback special securities account	0.60	13,037,477

Note: The name of the above shareholders shall be based on Chinese

## Top 10 Shareholders (cont.)

No.	Name of Shareholders	Shareholdings (%)	Number of shares hold
6	Central Huijin Asset Management Co. Ltd.	0.59	12,736,500
7	Industrial securities co. Ltd	0.42	9,140,000
8	Picc property insurance co., LTD. - traditional - general insurance products - 008c-ct001 Shanghai	0.35	7,563,790
9	Agricultural bank of China limited - China securities 500 exchange traded index securities investment fund	0.32	7,040,018
10	People's life insurance company of China LTD. - traditional - general insurance products	0.28	6,095,607

Note: The name of the above shareholders shall be based on Chinese

# Highlights in Q3

## 1.2019 Stock option incentive plan

Board time: On 22 Aug. 2019

Exercise price: 13.34yuan per share

Awarded 17.92million share for the first time, the waiting period is two years

Exercise schedule	Exercise time	Exercise ratio	Exercise conditions for company	Exercise people
The first	2021.11-2022.11	20%	2019 ROE is no less than 10%	Awarded 536 persons for the first time
		20%	2020 ROE is no less than 10%	
The second	2022.11-2023.11	30%	2021 ROE is no less than 10%	
The Third	2023.11-2024.11	30%	2022 ROE is no less than 10%	

# Highlights in Q3

## 2.2019 Core employee stock ownership plan

Board time: On 22 Aug. 2019

The transferee price: 13.34yuan per share

The source of stock is treasury stock and expect not to exceed 6.43 million share

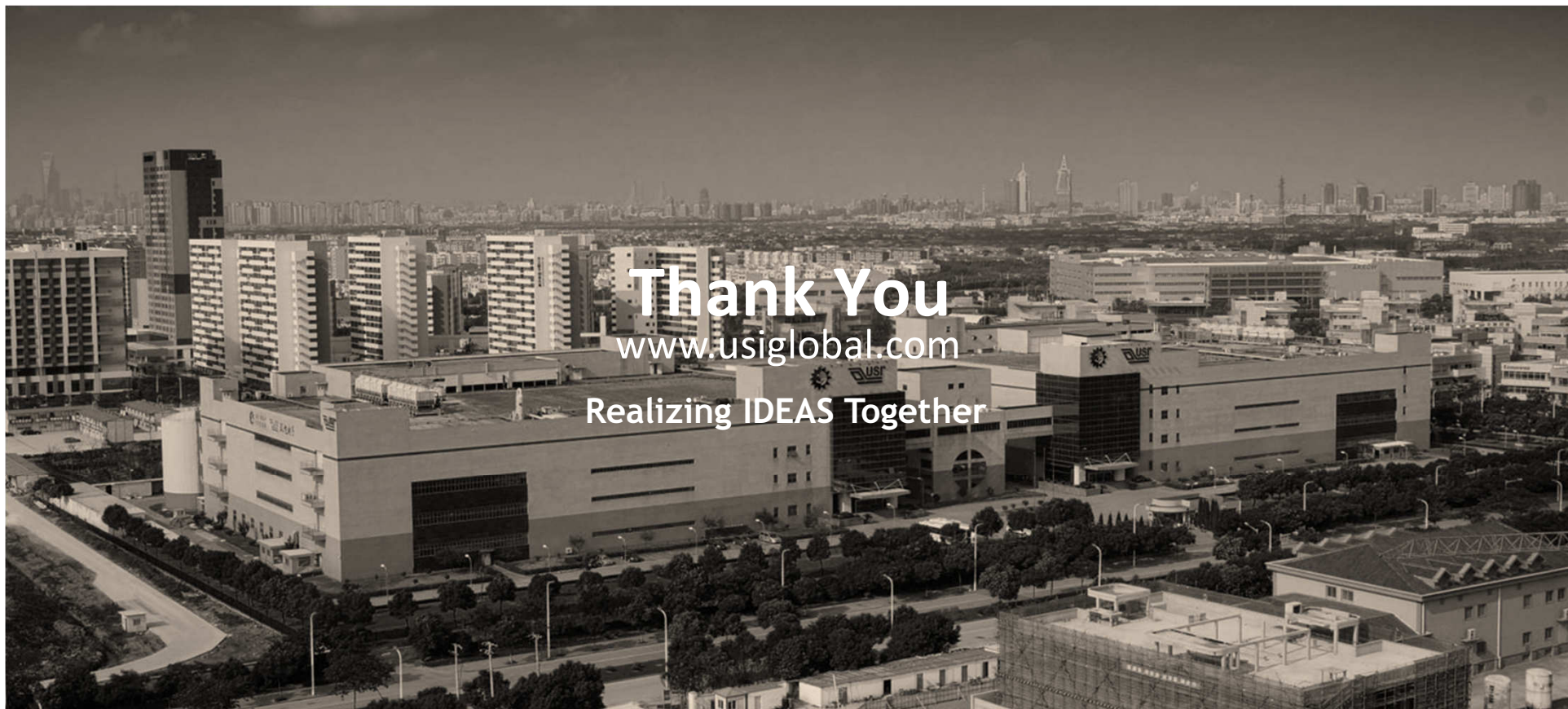
Plan	Implement period	Number of the transferee	Number of participants
The first	2020.04	Less than 1.28 million share	Less than 25
The second	2021.04	The specific details are subject to the announcement	
The Third	2022.04		

# Highlights in Q3



## 3.investment





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